

<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5181-82104  APPLICANT: Traversat, et al.  FILING DATE: January 22, 2002	SERIAL NO. 10/055,645  GROUP: 2152
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## U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
LL	C1	5,719,861	2/17/98	Okanoue			

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Technology Center 2100

## FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

LL	C2	International Search Report for US/PTO 02/01362, mailed November 20, 2002, 9 pages.					

EXAMINER: /Le Luu/

DATE CONSIDERED:

12/27/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)

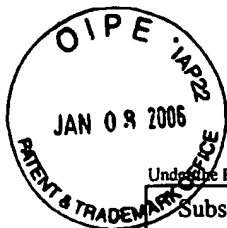
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DATE CONSIDERED: 12/27/2006

Information Disclosure Statement--PTO 1449 (modified)



PTO/SB/08A (07-05)

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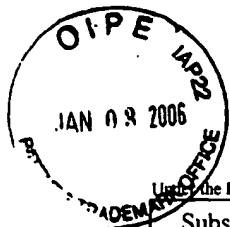
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Substitute for form 1449/PTO Modified	<b>Complete if Known</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)</b>	Application Number 10/055,645
	Confirmation No.: 9627
	Filing Date: January 22, 2002
	First Named Inventor: Bernard A. Traversat
	Art Unit: 2141
	Examiner Name: Luu, Le Hien
Sheet 1 of 2	Attorney Docket Number: 5181-82104

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
LL		US-6,697,944	2/24/04	Jones et al.	
		US-5,619,657	4/8/97	Sudama et al.	
		US-6,854,016	2/8/05	Kraenzel et al.	
		US-2005/0086300	4/21/05	Yeager, et al.	
		US-2002/0052885	5/02	Levy	
		US-6,657,116	12/03	Gunnerson	
		US-2002/0073204	6/02	Dutta, et al.	
		US-2002/0138471	9/02	Dutta, et al.	
		US-2003/0055894	3/20/03	Yeager, et al.	
		US-2003/0055898	3/20/03	Yeager, et al.	
		US-2003/0070070	4/10/03	Yeager, et al.	
		US-2004/0088369	5/6/04	Yeager, et al.	
		US-2003/0028585	2/6/03	Yeager, et al.	
		US-2003/0163697	8/28/03	Pabla, et al.	
		US-5,479,514	12/95	Klonowski	
		US-2003/0056093	3/03	Huiterna, et al.	
		US-2003/0115251	6/03	Fredrickson, et al.	
		US-2003/0009570	1/03	Moskowitz, et al.	
		US-6,446,113	9/02	Ozzie, et al.	
		US-2004/0133640	7/8/04	Yeager	
		US-2004/0088646	5/6/04	Yeager, et al.	
		US-2004/0088348	5/6/04	Yeager, et al.	
LL		US-2004/0088347	5/6/04	Yeager, et al.	

Examiner Signature	/Le Luu/	Date Considered	12/27/2006
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PTO/SB/08A (07-05)

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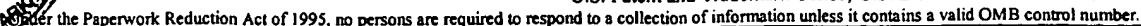
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Substitute for form 1449/PTO Modified  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>  Sheet 2 of 2	<b>Complete if Known</b> Application Number: 10/055,645 Confirmation No.: 9627 Filing Date: January 22, 2002 First Named Inventor: Bernard A. Traversat Art Unit: 2141 Examiner Name: Luu, Le Hien Attorney Docket Number: 5181-82104
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, (page(s), volume-issue number(s), publisher, city and/or country where published.	Check if English Translation is attached
LL	F1	Abdul-Rahman et al., "A Distributed Trust Model," 1997 New Security Paradigms Workshop, ACM 1998, (Pages 48-59)	<input type="checkbox"/>
	F2	Zacharia et al., "Trust Management Through Reputation Mechanisms," Applied Artificial Intelligence, 2000, 14:881-907	<input type="checkbox"/>
	F3	"Frequently Asked Questions about PGPI," <a href="http://web.archive.org/web/20020207220715/http://stale.schumacher.no/email.shtml">http://web.archive.org/web/20020207220715/http://stale.schumacher.no/email.shtml</a> , March 2, 2001, (9 pages)	<input type="checkbox"/>
	F4	Bill Yeager, "Self Constructing P2P Networks Using the Project JXTA P2P Protocols," <a href="http://netseminar.stanford.edu/sessions/2002-03-14.html">http://netseminar.stanford.edu/sessions/2002-03-14.html</a> , March 14, 2002, (2 pages)	<input type="checkbox"/>
	F5	Cameron Ross Dunne, "Using Mobile Agents for Network Resource Discovery in Peer-to-Peer Networks," <a href="http://www.acm.org/sigs/sigecom/exchanges/volume_2_(01)/2.3-index.html">http://www.acm.org/sigs/sigecom/exchanges/volume_2_(01)/2.3-index.html</a> , 2001, (11 pages)	<input type="checkbox"/>
	F6	Fung et al., "Part-Time MSC Project 1 Mid-Term Review report," Peer-to-Peer Networks & Mobile Agents, October 31, 2001, (12 pages)	<input type="checkbox"/>
	F7	Sniffen, "Trust Economies in the Free Haven Project," May 22, 2000, pp. 1-38.	<input type="checkbox"/>
	F8	Oram, "Peer-to-Peer: Harnessing the Benefits of a Disruptive Technology," March 2001, O'Reilly & Associates, First Edition, Chapters 16 and 18.	<input type="checkbox"/>
	F9	Alan Beecraft, "Peer-to-Peer: From JMS to Project JXTA Part 1: Shall we Chat?," <a href="http://java.sun.com/developer/technical/articles/peer/">http://java.sun.com/developer/technical/articles/peer/</a> , November 2001, 5 pages.	<input type="checkbox"/>
	F10	"LICQ Info," <a href="http://web.archive.org/web/20010808110229/www.licq.org/info.html">http://web.archive.org/web/20010808110229/www.licq.org/info.html</a> , August 8, 2001, 4 pages.	<input type="checkbox"/>
	F11	Oshima et al., "Aglets Specification 1.1 Draft," Sun Microsystems, September 8, 1998, (40 pages).	<input type="checkbox"/>
	F12	"Project JXTA: An Open, Innovative Collaboration," Sun Microsystems, Inc., April 25, 2001, (7 pages)	<input type="checkbox"/>
	F13	"JXTA v1.0 Protocols Specification," Sun Microsystems, Inc., 2002, (86 pages)	<input type="checkbox"/>
✓	F14	Traversat et al., "Project JXTA Virtual Network," Sun Microsystems, Inc., February 5, 2002, (10 pages)	<input type="checkbox"/>
LL	F15	Lange et al., "Mobile Agents with Java: The Aglet API," World Wide Web, Vol. 1, Issue 3, 1998, (Pages 111-121)	<input type="checkbox"/>

Examiner Signature	/Le Luu/	Date Considered	12/27/2006
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***Complete if Known***

Application Number	10/055,645
Confirmation No.:	9627
Filing Date:	January 22, 2002
First Named Inventor:	Bernard A. Traversat
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Examiner Name:	Luu, Le Hien
Attorney Docket Number:	5181-82104

Sheet 1 of 1

[illegible]

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10055645
	Filing Date		2002-01-22
	First Named Inventor	Bernard A. Traversat	
	Art Unit	2141	
	Examiner Name	Luu, Le Hien	
	Attorney Docket Number	5181-82104	

## U.S.PATENTS

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
LL	1	6105027		2000-08-15	Schneider et al	
↓	2	7039701		2006-05-02	Wesley	
↓	3	6560217		2003-05-06	Peirce, Jr. et al	
↓	4	7046995		2006-05-16	Rygaard	
↓	5	6233601		2001-05-15	Walsh	
↓	6	7050861		2006-05-23	Lauzon et al	
↓	7	5964837		1999-10-12	Chao et al	
LL	8	7016306		2006-03-21	Alapuranen et al	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10055645
Filing Date	2002-01-22
First Named Inventor	Bernard A. Traversat
Art Unit	2141
Examiner Name	Luu, Le Hien
Attorney Docket Number	5181-82104

LL	9	7043522		2006-05-09	Olson et al	
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LL	1	20010029526		2001-10-11	Yokoyama, Taiko et al	
↓	2	20020083145		2002-06-27	Perinpanathan, Nishanthan M.T.	
	3	20040205772		2004-10-14	Uszok, Andrzej et al	
	4	20030130947		2003-07-10	Benantar, Messaoud	
	5	20030217140		2003-11-20	Burbeck, Stephen L. et al	
	6	20030037097		2003-02-20	Meyer, Andre Philippe et al	
LL	7	20040071099		2004-04-15	Costa-Requena, Jose et al	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10055645
	Filing Date		2002-01-22
	First Named Inventor	Bernard A. Traversat	
	Art Unit	2141	
	Examiner Name	Luu, Le Hien	
	Attorney Docket Number	5181-82104	

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
LL	1	1229442	EP		2002-08-07			<input type="checkbox"/>

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#### NON-PATENT LITERATURE DOCUMENTS

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LL	1	KARL ABERER, et al., "Managing Trust in a Peer-2-Peer Information System," 2001 ACM, pp. 310-317.	<input type="checkbox"/>
LL	2	RITA CHEN, et al., "Poblano: A Distributed Trust Model for Peer-to-Peer Networks," Sun Microsystems, Inc., 2001, 26 pages.	<input type="checkbox"/>

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